

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re prior application of: Robert D. Symonds, et al.

Application No.: 0 /

Group No.:(Anticipated) 2876

Filed: Herewith

Examiner: Jared J. Fureman

For: Financial Transaction Processing System  
and Method

Assistant Commissioner for Patents  
Washington, D.C. 20231

APPLICATION DATA SHEET  
37 C.F.R. § 1.76

NOTE: 37 C.F.R. § 1.76(a): "Application data sheet. An application data sheet is a sheet or sheets, that may be voluntarily submitted in either provisional or nonprovisional applications, which contains bibliographic data, arranged in a format specified by the office. If an application data sheet is provided, the application data sheet is part of the provisional or nonprovisional application for which it has been submitted."

BIBLIOGRAPHIC DATA

1. Applicant Information

NOTE: 37 C.F.R. § 1.76(b)(1): "(1) Applicant information. This information includes the name, residence, mailing address, and citizenship of each applicant (§ 1.41(b)). The name of each applicant must include the family name, and at least one given name without abbreviation together with any other given name or initial. If the applicant is not an inventor, this information also includes the applicant's authority (§§ 1.42, 1.43 and 1.47) to apply for the patent on behalf of the inventor."

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is **mandatory**;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☐ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. 1.8(a)

37 C.F.R. 1.10\*

- ☐ with sufficient postage as first class mail. ☒ as "Express Mail Post Office to Addressee"  
Mailing Label No. EL820016995US (**mandatory**)

TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

Ralph E. Jocke

(type or print name of person certifying)

Date: 5/29/2001

First applicant:

Robert	D.	Symonds
GIVEN NAME	MIDDLE INITIAL OR NAME	FAMILY (or LAST) NAME

Citizenship: U.S.  
Residence: 14711 River Glen  
Novelty, Ohio 44072

Second applicant, (if any):

Irek		Singer
GIVEN NAME	MIDDLE INITIAL OR NAME	FAMILY (or LAST) NAME

Citizenship: Canada  
Residence: 5033 Rundle Court  
Mississauga, Ontario, Canada L5M 4A3

Third applicant, (if any):

Peter		St. George
GIVEN NAME	MIDDLE INITIAL OR NAME	FAMILY (or LAST) NAME

Citizenship: Canada  
Residence: 320 Hollywood Avenue  
Willowdale, Ontario, Canada M2N 3L2

Fourth applicant, (if any):

Robert	Bradley	Gill
GIVEN NAME	MIDDLE INITIAL OR NAME	FAMILY (or LAST) NAME

Citizenship: Canada  
Residence: 210 Hess Street South  
Hamilton, Ontario, Canada L8P 3P2

Fifth applicant, (if any):

GIVEN NAME	MIDDLE INITIAL OR NAME	FAMILY (or LAST) NAME
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Citizenship: \_\_\_\_\_  
Residence: \_\_\_\_\_

- ☐ Applicant is not the inventor and applicant's authority (§§ 1.42, 1.43 and 1.47) to apply for the patent on behalf of the inventor is as follows:

## 2. Correspondence information

*NOTE: 37 C.F.R. § 1.76(b)(2): "(2) Correspondence information. This information includes the correspondence address, which may be indicated by reference to a customer number, to which correspondence is to be directed (see § 1.33(a))."*

Correspondence for this application should be addressed as follows:

Name: Ralph E. Jocke

Address: 231 South Broadway, Medina, Ohio 44256 USA

☒ Customer No.: 07733

## 3. Application information.

*NOTE: 37 C.F.R. § 1.76(b)(3): "Application information. This information includes the title of the invention, a suggested classification, by class and subclass, the Technology Center to which the subject matter of the invention is assigned, the total number of drawing sheets, a suggested drawing figure for publication (in a nonprovisional application), any docket number assigned to the application, the type of application (e.g., utility, plant, design, reissue, provisional), whether the application discloses any significant part of the subject matter of an application under a secrecy order pursuant to § 5.2 of this chapter (see § 5.2(c)), and, for plant applications, the Latin name of the genus and species of the plant claimed, as well as the variety denomination. The suggested classification and Technology Center information should be supplied for provisional applications whether or not claims are present. If claims are not present in a provisional application, the suggested classification and Technology Center should be based upon the disclosure."*

Title of Invention: Financial Transaction Processing System and Method

Docket number assigned to this application: D-1067 R3

Suggested Classification: Class: 235

Subclass: 379.000

Technology Center to which subject matter is assigned: 2876

*NOTE: "The suggested classification and Technology Center information should be supplied for provisional applications whether or not claims are present. If claims are not present in a provisional application, the suggested classification and Technology Center should be based upon the disclosure." 37 C.F.R. § 1.76(b)(3).*

Total number of drawing sheets: 19

Type of application:

☒ utility

☐ application is to be published

Suggested drawing figure for publication: \_\_\_\_\_

☒ application is not to be published

☐ plant

☐ Latin names of the genus \_\_\_\_\_  
species \_\_\_\_\_  
of the plant being claimed.

☐ design

☐ reissue

☐ provisional

Secrecy order under § 5.2:

This application

☒ does not disclose

☐ discloses a significant part of the  
subject matter of an application which is under a secrecy order pursuant to § 5.2.

#### 4. Representative information

NOTE: 37 C.F.R. § 1.76(b)(4) states: "Representative information. This information includes the registration number of each practitioner having a power of attorney or authorization of agent in the application (preferably by reference to a customer number). Providing this information in the application data sheet does not constitute a power of attorney or authorization of agent in the application (see § 1.34(b))." (Emphasis added).

The following have a power of attorney or authorization of agent in this application:

Name of attorney (agent): Ralph E. Jocke

Address: 231 South Broadway, Medina, Ohio 44256 USA

Customer No.: 07733

#### 5. Domestic Priority information

NOTE: "Domestic priority information. This information includes the application number, the filing date, the status (including patent number if available), and relationship of each application for which a benefit is claimed under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120 and § 1.78(a)(2) or § 1.78(a)(4), and need not otherwise be made part of the specification." 37 C.F.R. § 1.76(b)(5).

☒ Domestic priority for this application is claimed as follows:

☒ 35 U.S.C. § 119(e): Application No.: 60/019,544

Filed: 06/10/96

09861350 "E3T/98650

Application No.: 60/021,871  
Filed: 07/17/96

Application No.: 60/025,266  
Filed: 09/17/96

☒ 35 U.S.C. § 120: Application No.: 09/483,104  
Filed: 01/14/00  
Status: Allowed  
Relationship: Divisional of:

Application No.: 08/813,510  
Filed: 03/07/97  
Status: Pat. No. 6,039,245

☐ 35 U.S.C. § 121: Application No.: \_\_\_\_\_  
Filed: \_\_\_\_\_  
Status: \_\_\_\_\_  
Relationship: \_\_\_\_\_

☐ 35 U.S.C. § 365(c): Application No.: \_\_\_\_\_  
Filed: \_\_\_\_\_  
Status: \_\_\_\_\_  
Relationship: \_\_\_\_\_

## 6. Foreign priority information

**NOTE:** "Foreign priority information. This information includes the application number, country, and filing date of each foreign application for which priority is claimed, as well as any foreign application having a filing date before that of the application for which priority is claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and § 1.55(a)." 37 C.F.R. § 1.76(b)(6).

**WARNING:** Section 1.55(a) provides (1) that in an original application filed under 35 U.S.C. 111(a) (other than a design application) the claim for priority must be presented during the pendency of the application, and within the later of four months from the actual filing date of the application or sixteen months from the filing date of the prior foreign application; (2) in an application that entered the national stage from an international application after compliance with 36 U.S.C. 371, the claim for priority must be made during the pendency of the application and within the time limit set forth in the PCT and the Regulations under the PCT.

☐ Foreign priority is claimed for this application as follows:  
Country: \_\_\_\_\_  
Application No.: \_\_\_\_\_  
Filing date: \_\_\_\_\_  
Status: \_\_\_\_\_

Foreign application having a filing date before that of the above application for which priority is claimed:

☐ None  
☐ Country: \_\_\_\_\_

Application No.: \_\_\_\_\_  
Filing date: \_\_\_\_\_  
Status: \_\_\_\_\_

**7. Assignee information**

*NOTE: 37 C.F.R. § 1.76(b) "Assignee information. This information includes the name (either person or juristic entity) and address of the assignee of the entire right, title, and interest in an application. Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of this chapter to have an assignment recorded by the Office."*

*NOTE: 37 C.F.R. § 1.215(b): "(b) If applicant wants the patent application publication to include assignee information, the applicant must include the assignee information on the application transmittal sheet or the application data sheet (§ 1.76). Assignee information may not be included on the patent application publication unless this information is provided on the application transmittal sheet or application data sheet included with the application on filing. Providing this information on the application transmittal sheet or the application data sheet does not substitute for compliance with any requirement of part 3 of this chapter to have an assignment recorded by the Office."*

The assignee(s) of this application is/are:

Name of assignee: Diebold, Incorporated  
Address of Assignee: 5995 Mayfair Road  
North Canton, Ohio 44720 USA

Extent of interest of assignee in application: Entire



SIGNATURE OF PRACTITIONER

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FO6250-EST/9860